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Docket No. P0285US-7

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ibbetson, et al.

Serial No. 10/786,755

Group Art Unit: 2879

Filed: February 24, 2004

Title: COMPOSITE WHITE LIGHT SOURCE AND METHOD FOR FABRICATING

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Sir:

Transmitted herewith is an Information Disclosure Statement for this application and a Substitute PTO Form 1449 with references.

If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 11-1580 as set forth in 37 CFR 1.17(p). A duplicate of this transmittal is attached.

Respectfully submitted,

Dated: 4/11/12

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service via first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date

April 12, 2012

Linda Arnold  
Linda Arnold

04/17/2012 CCHAU1 00000005 10786755 180.00 OP  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10786755
Filing Date	2012-02-24
First Named Inventor	Ibbetson, et al
Art Unit	2879
Examiner Name	Anthony Perry
Attorney Docket Number	P0285US-7

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).


☐ See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2012-4-11
Name/Print	Jaye G. Heybl	Registration Number	42,661

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



SUBSTITUTE FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)	Docket No. P0285US-7	Application Number 10/786,755
	Applicants Ibbetson, et al	
	Filing Date 02-24-2004	Group Art Unit 2879

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Subclass	Filing Date If Appropriate
	US	20	03	03	00	60	A					
	6	2	5	2	2	5	4	02/06/03	Nishioka, et al			
	6	2	5	2	2	5	4	06/26/01	Soules			
	6	2	4	5	2	5	9	06/12/01	Hoehn			

### FOREIGN PATENT DOCUMENTS

	Document Number								Date	Country	Class	Subclass	Translation	
	JP	7	19	32	81	A		Yes					No	
	JP	7	19	32	81	A		07/28/95	JAPAN					

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Invitation pursuant to Article 94(3) and Rule 71(1) EPC, Application No. 04 714 213.8-1235, dated February 29, 2012
		Extended European Search Report from Application No. 10181251.9-1235/2262006, dated: Feb. 17, 2012
		Re-Examination Decision of the IP Office from Taiwan Patent Appl. No. 093104924, dated: Dec. 15, 2011
Examiner		Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		